Digital sensor based on multicavity fiber interferometers

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1. INTRODUCTION

In the last few years, Fabry–Perot interferometer (FPI)-based optical sensors have been widely investigated for numerous applications, including pressure, strain, temperature, gasses, and humidity measurements. In FPI sensing applications, researchers always desire to have high sensitivity, dynamic range, and detection limit. One way of increasing the performance of FPI sensors is to exploit the Vernier effect in a multicavity configuration.

Vernier-effect-based multicavity sensors have been previously proposed in various optical fibers and in ring resonators. In multicavity sensing works, researchers have looked over two regimes for their sensing applications. These include tracking of (i) continuous frequency shifts in the FPI transmission envelope for various sensing schematics and (ii) discrete frequency shifts (digital) in individual resonant peaks of coupled-ring resonators. The digital sensing scheme is appealing due to the potential of achieving ultralow detection limits. In a previous work, researchers proposed two cascaded-ring resonators with slightly different free spectral ranges (FSRs) for realizing a digital sensor. In that work, deterministic control of dynamic range, sensitivity, and detection limit as a function of rings’ parameters was not quantitatively explored. The digital sensing with ring resonators is also somewhat challenging due to tight fabrication tolerances on parameters of microrings and coupling waveguides. In our work, we provide a comprehensive prescription for designing digital multicavity FPI sensors with high performance by employing off-the-shelf fiber Bragg gratings (FBG). The FSR of our proposed two-cavity sensor plays an important role in determining its dynamic range, sensitivity, and detection limit. Therefore, starting with the well-known matrix method result of the three-mirror cavity, we analyze and provide a simple physical interpretation of the obtained transmission response as a function of cavity lengths and reflectivities. We also provide an analytical expression of FSR for our sensing needs.

We propose to build the two-cavity sensor from three FBGs. We propose to use a current-tuned distributed feedback (DFB) laser and photodetector to track the sensor’s transmission spectrum as a function of sensing events. A tapered fiber is inserted in one of the two cavities and acts as a sensing head. The lengths of two cavities are adjusted such that it follows our derived FSR expression.

The proposed system’s potential as a refractive index (RI) sensor is then analyzed via simulations. We find that RI changes induce discrete frequency shifts (digital sensing) of resonant peaks in the sensor’s transmission response. We then analyze the detection limit and sensitivity of the sensor as a function of the lengths of two cavities in the sensor. The two lengths are picked in such a deterministic way that all combinations produce not only systematic digital shifts but also the same FSR as predicted by our derived equation. As an example, we show via simulations that the proposed sensor reaches $10^{-6}$, which is eight times more than the previously proposed digital sensor based upon coupled-ring resonators.

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The FPI transmission is given by [14]

\[ T = \left( \frac{t_1 t_2 t_3}{D} \right)^2, \]

where

\[ D = 1 + (r_1 r_2)^2 + (r_2 r_3)^2 + (r_1 r_3)^2 + 2r_1 r_2 (1 + r_3^2) \cos(2\phi_1) \]
\[ + 2r_2 r_3 (1 + r_1^2) \cos(2\phi_2) + 2r_1 r_3 \cos(2\phi_1 + 2\phi_2) \]
\[ + 2r_1 r_2 r_3 \cos(2\phi_1 - 2\phi_2), \]

(2)

where \( r_i \) and \( t_i \) (\( i = 1, 2, 3 \)) are amplitude reflection and transmission coefficients of reflectors, respectively, and \( \phi_i = \frac{2\pi n_i L_i}{\lambda} \) (\( i = 1, 2 \)) are phase lengths of two cavities.

The reflectivity, \( R_2 \), will play a pivotal role in determining the transmission response of this multicavity FPI. Intuitively, one can think that if \( R_2 \) is closer to 1, then the two cavities, \( R_1 L_1 R_2 \) and \( R_2 L_2 R_3 \), will be negligibly coupled to each other. However, as \( R_2 \) starts decreasing, the two cavities will begin to couple with each other, and when \( R_2 \) becomes 0, then we will have the standard FPI with two reflectors, \( R_1 L_1 L_2 R_3 \).

From Eq. (1) it is clear that the transmission, \( T \), is maximum whenever \( D \) [Eq. (2)] is minimum. Considering the case of \( R_2 \geq 0.99 \), one can easily see that by taking the ratio of individual terms, the fifth and sixth terms of \( D \) are the dominant ones. In other words, if sinusoids in both fifth and sixth terms are at their negative peaks, then the maximum transmission will occur. Therefore, for the transmission peak, one requires

\[ \phi_1 = \frac{(2n + 1)\pi}{2}, \]

(3)

\[ \phi_2 = \frac{(2m + 1)\pi}{2}, \]

(4)

where \( m = 0, 1, 2, 3, \ldots \) and \( n = 0, 1, 2, 3, \ldots \). Intuitively, one needs to look at the behavior of just \( \cos(2\phi_1) \) and \( \cos(2\phi_2) \) to understand and predict the transmission response. For convenience and without the loss of generality, one can assume that

\[ \phi_2 = M\phi_1, \]

(5)

where \( M = \frac{n_2 L_2}{n_1 L_1} \) and is a positive real number. Since Eq. (2) remains the same if we replace \( R_1 \) and \( L_1 \) with \( R_3 \) and \( L_2 \), respectively, we can also assume that \( L_2 \geq L_1 \) without the loss of generality. By using Eqs. (3)–(5), we obtain the maximum transmission condition:

\[ m = \frac{2mn + M - 1}{2}. \]

(6)

Note that \( m \) and \( n \) have to be integers, and \( M \) can be any positive real number in Eq. (6) for achieving maximum transmission. Let us now consider different cases for \( M \).

A. \( M \) Is an Even or Odd Integer

From Eq. (6), one can clearly see that for an even \( M \), there will never be an integral value of \( m \), and hence no transmission maxima will exist; however, for an odd \( M \) transmission maxima will always exist. Physically, each integral value of \( n \) and \( m \) corresponds to negative peaks of \( \cos(2\phi_1) \) and \( \cos(2M\phi_1) \), respectively. Mathematically, one can also readily verify that whenever \( \cos(2\phi_1) \) and \( \cos(2M\phi_1) \) are at their negative peaks, then \( \cos(2\phi_1 + 2M\phi_1) \) [seventh term of Eq. (2)] and \( \cos(2\phi_1 - 2M\phi_1) \) [eighth term of Eq. (2)] are at their positive peaks for an odd integer \( M \). In the case of an even integer \( M \), there will be no overlapping peaks of the two dominant sinusoids [fifth and sixth terms of Eq. (2)] and hence no transmission maxima. Using Eq. (3), we can deduce that the FSR, defined as the wavelength spacing between two maximum transmission modes, for an odd \( M \) will always be

\[ \text{FSR} = \frac{\lambda^2 M}{2n_i L_2} = \frac{\lambda^2}{2n_i L_1}, \]

(7)

where \( n_i \) is the RI of the fiber.

B. Non-Integer \( M \)

Considering the case of a non-integer \( M \) of the form

\[ M = \frac{a}{b}, \]

(8)

this means that \( a\phi_1 = b\phi_2 \). If \( a \) and \( b \) are a combination of even and odd integers, then there will never be a perfect overlap of the negative peaks. However, one can find certain values of \( a \) and \( b \), for which \( M \) can lie within \( \pm 0.05 \) of an integer value. In this case, the FSR will increase significantly and form the basis of sensors based upon detecting shifts in the envelope of the overall FPI transmission [7–11].

If \( a \) and \( b \) are odd integers, then based upon discussions in Section 2.A, it is clear that \( \cos(2\phi_1) \) and \( \cos(2M\phi_1) \) will always
overlap at their negative peaks. Mathematically, values of $n$ that can generate integer $m$ values satisfy the following relation:

$$n = \alpha b + \frac{b - 1}{2},$$

(9)

where $\alpha = 0, 1, 2, \ldots$ represent absolute numbers of those negative peaks of $\cos(2\phi_1)$ that fully overlap with $\cos(2M\phi_1)$. Equation (9) is derived by determining the sequence for $n$ that will make $m$ [Eq. (6)] an integer for various $M$'s. Substitution of Eq. (9) into Eq. (6) will generate an expression for the corresponding integral values of $m$ and is given by

$$m = \alpha a + \frac{a - 1}{2}. \quad (10)$$

One can clearly see that Eqs. (9) and (10) always produce integer values for odd $a$ and $b$. The FSR in terms of $\phi_1$ and $\phi_2$ are simplified to $a\pi$ and $b\pi$, respectively. The FSR in terms of lengths of the two cavities is given by

$$\text{FSR} = \frac{\lambda^2 a}{2n_1 L_2} = \frac{\lambda^2 b}{2n_2 L_1}. \quad (11)$$

This equation shows that the FSR is $b$ times larger than that of the odd integer $M$ case [Eq. (7)]. A representative case for $M = \frac{3}{5}$ is shown in Fig. 2, in which it is clear that the FSR is $3\pi$ as predicted. It should be noted that the same results will hold even if $a$ and $b$ transform to even numbers on multiplication with a common multiplier. However, in that case, $a$ and $b$ in Eq. (11) still represent odd integers in their lowest forms. Our work in the following sections on digital sensors will be using Eq. (11) as one of its key parameters.

Equation (11) is valid when reflectivities lie in the range $0.1 \leq R_1, R_2 \leq 0.85, R_3 \leq 0.99$. When $R_3$ becomes less than 0.99, then intuitively one can think that the two cavities start to couple with each other. This means that, regardless of the value of $M$, the transmission maxima start to appear near the negative maxima of $2r_3/3\cos(2\phi_1 + 2M\phi_1)$ [see Eqs. (1) and (2)]. As $R_2$ approaches zero, the transmission maxima will be exactly at negative peaks of $\cos(2\phi_1 + 2M\phi_1)$, i.e., we will approach the case of a single cavity with $R_1$ and $R_2$ as two reflectors. As $R_1$ or $R_3$ approaches zero, the system will approach a single cavity made up of $R_2L_2R_3$ or $R_1L_1R_2$, respectively. Consequently, the transmission maxima will start appearing at negative peaks of $\cos(2M\phi_1)$ or $\cos(2\phi_1)$, respectively. When $R_1$ becomes greater than 0.85, then the transmission not only reduces but also the peaks start to split. On the other hand, for $R_3 > 0.85$, secondary transmission peaks start to appear near all negative peaks of $\cos(2M\phi_1)$. One also finds that the effect of increasing of $R_1$ can be somewhat mitigated by decreasing $R_3$ and vice versa.

### 3. Digital Fiber FPI Sensor

#### A. Design

The proposed schematic for the digital sensor built from three FBGs is shown in Fig. 3. A tapered fiber of waist diameter 1 μm and length, $L_2$, of 2 cm is inserted into one of the cavities as the sensing head. Let us consider that $M = \frac{3}{5}$ for $L_1 = 30$ cm and $L_2 = 50$ cm for our starting design. According to Eq. (11), this provides us the FSR of 8.31 pm. We assume that $n_e = 1.445$ for SMF-28 fiber. One can easily conclude that we can get the same FSR of 8.31 pm for various values of $M$, $L_1$, and $L_2$. However, the cavity lengths are selected such that the following updated condition for $M$ is satisfied:

$$M = \frac{n_e L_2}{n_1 (L_1 - L_2) + n_1 L_2} = \frac{2\beta K + 1}{K}, \quad (12)$$

where $K$ is any odd integer greater than 1, $\beta = 1, 2, 3, \ldots, n_e$, and $n_1$ are effective indices of modes propagating in the SMF-28 and tapered fibers, respectively. Note that Eq. (12) is a subset of Eq. (8) when $a$ and $b$ are both odd integers. For example, $M = \frac{19}{15}$ can provide the desired FSR per Eq. (11) but does not satisfy Eq. (12) and hence will not provide a clear digital sensor response as explained below.

The condition in Eq. (12) makes sure that transmission modes of the two cavities are symmetrically separated from each other leading to a digital response of the proposed sensor with a similar trend for any value of $K$. This is illustrated in Fig. 4. The figure is produced under the assumption that the tapered fiber is immersed in water. The distance between each consecutive mode of the shorter cavity and alternative modes of the larger cavity follows an arithmetic sequence $Y, 2Y, 3Y, \ldots, \ell Y$, where

$$Y = \frac{2\beta}{2K \pm 1} - \frac{1}{K} \times \text{FSR}. \quad (13)$$

A progressive sequence of signals will not be produced for $M$'s that do not satisfy Eq. (12), as shown in Fig. 5. The shorter cavity modes (red plot) will slide forward and overlap with the larger cavity modes (blue plot) and produce back and forth transmission wavelength jumps as a function of sensing events. Consequently, in general, the sensor response will follow a

![Fig. 2.](image-url) **Fig. 2.** FPI transmission [Eq. (1)] for $M = \frac{3}{5}$ with $a, b$ odd integers. The FSR is $b$ times more than the odd integer $M$ case. Here, $M = \frac{3}{5}$, $R_1 = R_3 = 0.8$, and $R_2 = 0.99$.

![Fig. 3.](image-url) **Fig. 3.** Schematics of the proposed digital sensor.
Fig. 4. Transmission peaks of individual cavities for $\beta = 1$, $K = 5$, i.e., $M = \frac{5}{2}$. The blue solid plot represents transmission modes of the larger cavity, while the red dashed graph shows smaller cavity modes.

Fig. 5. Transmission peaks of individual cavities for $M = \frac{19}{11}$. 1, 2, ..., 10 signify the sequence of overlapping of modes. The inset shows wavelength changes in the sensor transmission (when the red and blue modes overlap) as a function of refractive index changes.

A different trend for any value of $M$ that does not satisfy Eq. (12). A representative example for $M = \frac{19}{11}$ is shown in Fig. 5. For the rest of the paper, we use those values of $M$ that satisfy Eq. (12).

**B. Simulation Results**

In the previous section, we see that various values of $K$ are possible for realizing the proposed digital sensor. In this section, we find optimum values of $K$ that will produce the maximum sensitivity and detection limit for a given FSR.

In simulations, we assume three FBGs with reflectivities of $R_1 = R_3 = 0.82$, $R_2 = 0.99$ at the central wavelength of 1550 nm. We also consider the single-mode tapered fiber of 1 $\mu$m waist diameter and length, $L_\text{t}$, of 2 cm as the sensing surface in the shorter cavity. According to Eq. (11) if $\frac{L_2}{L_1} = \frac{k}{n_1}$ for different values of $L_1$ and $L_2$, then the FSR will remain constant. Therefore, we adjust the two lengths ($L_1$, $L_2$) to make sure that the aforementioned condition is satisfied to have the constant FSR of 8.31 pm for all values of $K$ assumed in our simulations. We also assume $\beta = 1$ and subtraction in the numerator of Eq. (12) for all simulations.

During the modeling, we assume that the tapered fiber is immersed in water. We calculate the modal effective index of the immersed tapered fiber by finite element simulations (FEM). The sensor performance is then analyzed by introducing a small change in the RI of water and investigating the corresponding change in the transmission spectrum. The effective index of the single-mode tapered fiber, $n_t$, is recalculated using the FEM for each RI change around its surroundings.

In Fig. 4, it is clear that when RI changes are introduced in the vicinity of the tapered fiber, transmission modes of the shorter cavity will start sliding and overlap with larger cavity modes to produce a transmission maximum of the sensor in steps of $\lambda$ [Eq. (13)]. As the RI changes from 0, transmission maxima will follow the previously mentioned sequence, and hence a digital sensing response will be produced. We keep on tracking the maximum transmission peak of the proposed two-cavity sensor as a function of RI changes. The peak changes its position discretely along the wavelength axis as shown in Fig. 6.

To further investigate the potential and characteristics of the proposed sensor, the peak position is tracked for different values of $K$ as shown in Fig. 7. An increase in $K$ increases the lengths of cavities, which in turn increases the number of individual cavity modes in the fixed system FSR of 8.31 pm. Thus, the number of discrete jumps in the overall system FSR increases. Consequently, the minimum detection limit of the sensor increases as shown in Fig. 8. It should be noted that we are using $1 \times 10^{-6}$ as the RI step size in our simulations, and we reach this simulation limit for $K = 27$. As a reminder, we have generated results in Figs. 6 and 7 by using Eq. (1) while satisfying Eqs. (11) and (12).

The sensitivity results of the proposed sensor are shown in Fig. 9. The sensitivity for each value of $K$ is calculated by dividing the wavelength shift required for the corresponding minimum detectable RI change [12]. The sensitivity curve can be understood in the light of Fig. 8, in which an increase in $K$.

Fig. 6. Tracking the highest transmission peak for $K = 15$ as a function of RI changes. The discrete peak jumps are clearly visible.

Fig. 7. Comparison between digital responses of sensor for different values of $K$. 

may or may not improve the detection limit. For example, for \( K = 3, 5, 7 \), the decrease in detection limit improves the sensitivity, while for \( K = 9 \), no improvement in the detection limit worsens the sensitivity. It should be noted that an increase in \( K \) decreases \( Y \) [Eq. (13)] and hence the sensor’s wavelength shift, \( \Delta \lambda \), which is also evident in Fig. 7. Therefore, overall sensitivity is primarily dictated by the detection limit.

In Fig. 9, it is clear that although the maximum sensitivity of 320 nm/RIU is achieved at \( K = 7 \), the detection limit is lower at this \( K \). For both high sensitivity and detection limit, one would like to operate the proposed sensor at \( K = 27 \). The FSR of the sensor is 8.31 pm, which corresponds to the dynamic range of \( 2.4 \times 10^{-5} \) RIU.

4. DISCUSSION

Our results indicate that a high-performing multicavity sensor can be demonstrated with the help of a laser, photodetector, and off-the-shelf FBGs. The proposed sensor works on the principle of digital sensing as evident in Fig. 7. The sensor performance improves with an increase in \( K \), which is analogous to classical digital systems where their performance also improves with an increase in quantization levels. Here, the number of cavity modes provides quantization levels that increase with an increase in \( K \), as can be deduced from Eqs. (11) and (12). This effect is also clearly visible in Fig. 7 where staircase trends with lower values of \( K \) start becoming continuous as \( K \) increases. However, it should be noted that we see a continuous trend at \( K = 27 \) at the chosen step size of \( 10^{-6} \) RIU, which will be converted to a staircase trend as the RIU step size is further reduced. This indicates that we can detect \( <10^{-7} \) RI changes with the proposed sensor. The lowest detection limit will be eventually dictated by the overall sensor measurement noise, which can be less than 20 fm for a DFB laser and photodetector-enabled sensing modality [15]. Note that our simulations indicate that it is required to detect \( \Delta \lambda \) of 314 fm for measuring \( 10^{-6} \) RI changes at \( K = 27 \).

In the present work, we are providing an example of centimeter-scale cavities due to the intended usage of off-the-shelf FBGs. However, the proposed sensor’s performance will be enhanced in proportion if the length scales of two cavities are changed to millimeters or micrometers while respecting Eqs. (11) and (12).

One of the important aspects of the proposed two-stage fiber sensor is its FSR, which can be predictably increased by proper selection of cavity lengths as shown by Eqs. (11) and (12). These equations provide us guidelines to build the two-cavity FPI with a desired FSR from three off-the-shelf FBGs, as we just need to join the FBGs with selected fiber lengths. It should be noted that the maximum increase in FSR, with centimeter-scale cavity lengths, is limited by the tolerable intensity of undesired transmission modes in the designed FSR. Although this restriction can be removed by adding more FBGs, in that case, we need to re-derive Eqs. (11) and (12) for the desired number of cavities in the sensor.

5. CONCLUSION

We show that a digital sensor based upon multistage interferometers can be developed from off-the-shelf components and has the potential to detect very small amounts of analytes. The dynamic range, sensitivity, and detection limit of the sensor can be controlled by judicious choice of ratios of cavity lengths and/or increasing the number of FBGs. We anticipate that the present work will find wide usage in a variety of sensing applications in pharmaceutical, agriculture, and health care sectors.

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